

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Harald Bock et al.
Appl. No.: 09/801,400
Conf. No.: 4054
Filed: March 7, 2001
Title: SYSTEM FOR SUPPRESSING INSTABILITIES IN AN OPTICAL
WAVELENGTH DIVISION MULTIPLEX RING NETWORK
Docket No.: 112740-191

#6

Commissioner for Patents
P.O. Box 1450
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INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, copies of which are enclosed, be made during the course of examination of the above-identified application for United States patent.

U.S. PATENT DOCUMENTS

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
5,727,163	3-10-98	Bezos


FOREIGN PATENT DOCUMENTS

<u>Document No.</u>	<u>Date</u>	<u>Country</u>
EP 0 814 589	12-29-97	European

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY 
William E. Vaughan
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Phone: (312) 807-4292

Dated: October 9, 2003

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
112740-191

In Re Application of **Harald Bock et al.**

Serial No.
09/801,400

Filing Date
March 7, 2001

Examiner
Unknown

Group Art Unit
Unknown

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37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

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Examiner

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SYSTEM FOR SUPPRESSING INSTABILITIES IN AN OPTICAL WAVELENGTH DIVISION

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(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

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Dated: October 9, 2003

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CC:

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